

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**November 2021**

**Kathryn Swain**

**LGBTQinvest, LLC**  
**10833 Wilshire Blvd.**  
**Los Angeles, CA 90024**  
**LGBTQinvest.com**

**Firm Contact:**  
**Kathryn Swain**  
**Chief Compliance Officer**

This brochure supplement provides information about Kathryn Swain that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Swain if you did not receive LGBTQinvest, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Swain is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 2591079.

## **Item 2: Educational Background & Business Experience**

**Kathryn Kristen Swain**

**Year of Birth:** 1971

### **Educational Background:**

- 1994; University of Maryland; BA; Government
- 1992; Frederick Community College; Attended
- 1991; Merritt College; Attended
- 1990; Arizona State University; Attended; Focus in Communications

### **Business Background:**

- 10/2020 – Present      LGBTQinvest, LLC; President & Chief Compliance Officer
- 09/2020 – 09/2020      Goldman Sachs; Vice President
- 04/2010 – 07/2020      Churchill Management; Vice President

### **Exams, Licenses & Other Professional Designations:**

- 1995: Series 65 Exam

## **Item 3: Disciplinary Information<sup>1</sup>**

There are no legal or disciplinary events material to the evaluation of Ms. Swain.

## **Item 4: Other Business Activities**

Ms. Swain does not have any outside business activities to report.

## **Item 5: Additional Compensation**

Ms. Swain does not receive any other economic benefit for providing advisory services in addition to advisory fees.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 6: Supervision**

Kathryn Swain is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over her. She is, however, bound by our firm's Code of Ethics.

### **Item 7: Requirements for State-Registered Advisers**

Ms. Swain has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.